

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/532,412
				Filing Date	04-22-05
				First Named Inventor	Akifumi Okuda
				Art Unit	1645
				Examiner Name	Shahnan Shah, Khatol S.
(Use as many sheets as necessary)				Attorney Docket Number	0425-1185PUS1
Sheet	1	of	5		

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initial *	Cite No. 1	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, columns, Lines, Where Relevant Passages or Relevant Figures Appear	T 8
		Country ²	Number ³ Kind Code (if known) ⁴				
	14	JP	10-502618 - A	03-10-1998			✓
	15	EP	1083175 - A2	03-14-2001			✓
	16	GB	1153092 - A	05-21-1989			✓
	17	EP	1508570 - A1	02-23-2005			✓
	18	EP	1880994 - A1	01-23-2008			✓
	19	JP	2001-348324 - A	12-18-2001			✓
	20	JP	2002-145885 - A	05-22-2002			✓
	21	WO	2004-038814 - A1	11-18-2004			✓
	22	JP	2004-269488 - A	09-30-2004			✓
	23	WO	2005-073223 - A1	08-11-2005			✓

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

3

of

5

Application Number

10/532,412

Filing Date

04-22-06

First Named Inventor

Akifumi Okuda

Group Art Unit

1645

Examiner Name

Shahnan Shah, Khatof S.

Attorney Docket Number

0425-1185PUS1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T	2
	29	Non-Final Office Action dated January 06, 2010 of U.S. Application No. 12/021,184.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	30	Non-Final Office Action dated October 9, 2008 of U.S. Application No. 11/213,962.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	31	Notice of Allowance and Allowability dated June 29, 2009 of U.S. Application No. 11/927,542.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	32	Notice of Allowance and Allowability dated September 29, 2009 of U.S. Application No. 11/213,962.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	33	Restriction Requirement dated October 1, 2008 of U.S. Application No. 11/927,542.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	34	D. Zips, et al., "New Anticancer Agents: In Vitro and In Vivo Evaluation," In Vivo, 2005, vol. 19, pp. 1-8.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	35	Eskens et al., "First-in-human clinical, pharmacokinetic (PK) and pharmacodynamic (PD) phase I study of the first-in-class spliceosome inhibitor E7107 administered IV (bolus) on days 1, 8, and 15 every 28 days to patients with solid tumors", Abstract, American Society of Clinical Oncology, Jan. 6, 2009.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	36	International Preliminary Report on Patentability and Written Opinion of the International Searching Authority dated August 4, 2009 for corresponding Application No. PCT/JP/2008/051695 which corresponds to U.S. Provisional Application No. 60/888,257.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	37	J. Simons, "Part XIV - Oncology", Textbook of Medicine, 20th Edition, W.B. Saunders Company, February 3, 1997, vol. 1, pp. 1004-1010.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	38	J.I. Johnson, et al., "Relationships between drug activity in NCI preclinical in vitro and in vivo models and early clinical trials," British Journal of Cancer, 2001, vol. 84, no. 10, pp. 1424-1431.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	39	Kazumi Sugimori, "the Sujimori C method", Seiyaku Koujou, Vol. 6, No. 6, pp. 571-576, 1988.	<input checked="" type="checkbox"/>	<input type="checkbox"/>

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Date Considered

* EXAMINER, initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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STATEMENT BY APPLICANT

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Sheet

4

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Application Number	10/532,412
Filing Date	04-22-05
First Named Inventor	Akifumi Okuda
Group Art Unit	1645
Examiner Name	Shahnam Shah, Khalid S.

Attorney Docket Number

0425-1185PUS1

NON PATENT LITERATURE DOCUMENTS

Examiner initial *	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages, volume-issue number(s), publisher, city and/or country where published.	T <input type="checkbox"/>
	40	M. T. Zell, et al., "Investigation of Polymorphism in Aspartame and Neotame Using Solid-State NMR Spectroscopy," Tetrahedron, 2000, vol. 56, pp. 6603-6616.	<input type="checkbox"/>
	41	Non-Final Office Action dated February 11, 2009 of U.S. Application No. 11/927,542.	<input type="checkbox"/>
	42	Non-Final Office Action dated March 31, 2008 of U.S. Application No. 11/473,201.	<input type="checkbox"/>
	43	Notice of Allowance and Allowability dated February 3, 2009 of U.S. Application No. 11/473,201.	<input type="checkbox"/>
	44	Notice of Allowance and Allowability dated May 18, 2009 of U.S. Application No. 11/213,862.	<input type="checkbox"/>
	45	Restriction Requirement dated October 8, 2009 of U.S. Application No. 11/927,564	<input type="checkbox"/>
	46	Restriction Requirement dated September 18, 2009 of U.S. Application No. 12/021,184.	<input type="checkbox"/>
	47	Restriction Requirement, dated June 19, 2008 of U.S. Application No. 11/213,962.	<input type="checkbox"/>
	48	S.L. Morissette et al., "Elucidation of crystal form diversity of the HIV protease inhibitor ritonavir by high-throughput crystallization", PNAS, March 4, 2003, vol. 100, no. 5, pp. 2180-2184.	<input type="checkbox"/>
	49	Supplemental Notice of Allowability dated April 1, 2009 of U.S. Application No. 11/473,201.	<input type="checkbox"/>
	50	Supplemental Notice of Allowability dated April 13, 2009 of U.S. Application No. 11/473,201.	<input type="checkbox"/>

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(Use as many sheets as necessary)			Filing Date	04-22-05
			First Named Inventor	Akiumi Okuda
			Group Art Unit	1645
			Examiner Name	Shahnan Shah, Khatol S.
Sheet	5	of	5	Attorney Docket Number
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